

Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement

Joseph Daniel Sweeney

Sweeney & Michel LLC

Financial Planning & Investment Management

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Firm Contact:
Renee Michel
Chief Compliance Officer

This brochure supplement provides information about Joseph Sweeney that supplements our brochure. You should have received a copy of that brochure. Please contact Renee Michel if you did not receive Sweeney & Michel, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Joseph Sweeney is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD# 4968553.

Item 2: Educational Background & Business Experience

Joseph Daniel Sweeney

Year of Birth: 1985

Educational Background:

- 2008: Azusa Pacific University; Bachelor of Science in Finance
- 2005: Butte Community College; Associate of Arts in Behavioral Science

Business Background:

- 03/2016 – Present Sweeney & Michel, LLC; Managing Member & Investment Adviser Representative
- 10/2010 – 12/2018 Cetera Advisor Networks, LLC; Investment Adviser Representative
- 06/2010 – 12/2018 Cetera Advisor Networks, LLC; Registered Representative
- 04/2010 – 06/2010 Financial Network; Administrative Assistant
- 01/2010 – 04/2010 Accountemps; Loan Processor at Berkadia Commercial Mortgage

Exams, Licenses & Other Professional Designations:

- 06/2010: Series 7 Exam
- 09/2010: Series 66 Exam
- 03/2013: Certified Financial Planner (CFP)

CERTIFIED FINANCIAL PLANNER™ (CFP®) certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam (administered in 10 hours over a 2 day period) and agreeing to be bound by the CFP board's standard of professional conduct. As a prerequisite the IAR must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the standards of professional conduct.

Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Joseph Sweeney.

Item 4: Other Business Activities

Joseph Sweeney is a member of the board of directors and the finance committee for the Chico Children's Museum. This activity is not anticipated to present a conflict of interest since it is separate and apart from his responsibilities with Sweeney & Michel, LLC.

Item 5: Additional Compensation

Joseph Sweeney does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Renee Michel, Chief Compliance Officer of Sweeney & Michel, LLC, supervises and monitors Joseph Sweeney's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Ms. Michel at (530) 487-1777 if you have any questions about Joseph Sweeney's brochure supplement.